

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
DEC 12 2001  
TECHNOLOGY CENTER R3700

In Re: Application of  
William E. Baumzweiger and  
Kathleen L. Hannan

) Art Unit:

) Serial No.:

) Examiner:

) Filed:

) For: Brainstem and Limbic  
Disorder (BALD)

Commissioner Of Patents And Trademarks  
Washington, DC, 20231

DECLARATION IS SUPPORT OF APPLICANT'S  
PETITION TO MAKE APPLICATION RELATING TO  
BIOTECHNOLOGY SPECIAL

Sir:

I, William E Baumzweiger, MD, declare as follows:

1) I am one of the inventors of the above described patent application and make this declaration in support of the petition to make special the above-identified patent application.

2) A verified statement claiming small entity status pursuant to 37 C.F.R. 1.9 and 1.27 is filed herewith.

3) The subject matter of the above identified patent application is a major asset of myself and co-inventor Kathleen L Hannon, MD.

4) My medical practice is devoted in large extent to diagnosis and treatment of the so called "BALD" syndrome. This is a new area for medical specialization and practice in need of



RECEIVED

DEC 12 2001

TECHNOLOGY CENTER #3700

significant new research and scientific investigation

5) The research needed and further development of the subject matter of the invention will be significantly impaired if the examination of the patent application is delayed.

6) In order to justify the substantial expenditures that may be involved in the further research and scientific investigation of the subject matter of the present invention it is imperative that we inventors be apprized, as early as possible, of the patentability of the invention.

7) Additionally seeking a patent for the present invention involves a new method of publication of a newly discovered medical diagnosis and treatment protocol with the view to publication of the invention as effectively as possible.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the foregoing statements.

DATED

11/06/01

William E. Baumzweiger, MD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Application of  
William E. Baumzweiger and  
Kathleen L. Hannan

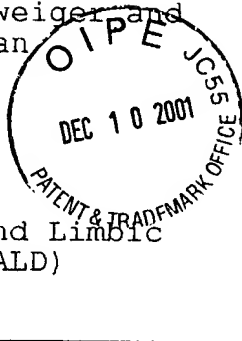
Art Unit:

Serial No.:

Examiner:

Filed:

For: Brainstem and Limbic  
Disorder (BALD)



RECEIVED  
DEC 12 2001  
TECHNOLOGY CENTER R3700

Commissioner Of Patents And Trademarks  
Washington, DC, 20231

DECLARATION FOR UTILITY PATENT APPLICATION

AND POWER OF ATTORNEY

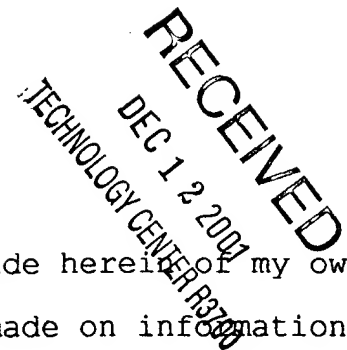
Sir:

DECLARATION

As a below-named inventor, I hereby declare that my residence, post office address, and citizenship are as stated below next to my name and that I believe that we are the original, first, and sole inventors of the subject matter which is claimed and for which a patent is sought on the invention, the specification of which is attached hereto and which has the following title:

Brainstem and Limbic Disorder (BALD)

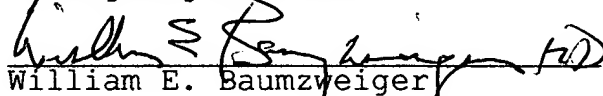
I have reviewed and understand the contents of the above-identified specification, including the claims. I acknowledge a duty to disclose information which is material to the examination of this application in accordance with Title 37, Code Of Federal



1 Regulations, Section 1.56(a)

2 I hereby declare that all statements made herein of my own  
3 knowledge are true and that all statements made on information  
4 and belief are believed to be true; and further that these  
5 statements were made with the knowledge that willful false  
6 statements and the like so made are punishable by fine or  
7 imprisonment, or both, under section 1001 of Title 18 of the  
8 United States Code, and that such willful false statements may  
9 jeopardize the validity of the foregoing statements.

10 DATED 11/04/01

  
William E. Baumzweiger

11 Residence & Citizenship: Studio City, California, 91604  
12 Citizen of USA

13 Post Office Address: 11357 Hendley Drive  
14 Studio City, CA, 91604

15 DATED 9/5/01

  
Kathleen L. Hannan

16 Residence & Citizenship: Orlando, Florida, 32837  
17 Citizen of USA

18 Post Office Address: 14332 Cheverleigh Drive  
19 Orlando, FL, 32837

20 POWER OF ATTORNEY

21 As named inventor, I hereby appoint the following attorney  
22 to prosecute this application and transact all business in the  
23 Patent and Trademark Office connected therewith:

24 Nathan Boatner  
25 Registration No 32856

26 Please Address All Communications To:

27 Nathan Boatner, Attorney  
28 PMB 692, 7095 Hollywood Blvd,  
Los Angeles, CA, 90028  
(213) 840-8286



Respectfully Submitted.


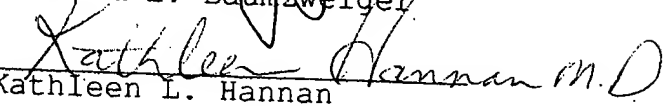
RECEIVED  
DEC 12 2001  
TECHNOLOGY CENTER R3700

DATED:

11/06/01

DATED:

9/5/01

  
William E. Baumzweiger  
  
Kathleen L. Hannan

- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10
- 11
- 12
- 13
- 14
- 15
- 16
- 17
- 18
- 19
- 20
- 21
- 22
- 23
- 24
- 25
- 26
- 27
- 28



RECEIVED

DEC 12 2001  
TECHNOLOGY CENTER R3700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Application of  
William E. Baumzweiger and  
Kathleen L. Hannan

) Art Unit:

Serial No.:

) Examiner:

Filed:

For: Brainstem and Limbic  
Disorder (BALD)

Commissioner Of Patents And Trademarks

Washington, DC, 20231

SMALL ENTITY DECLARATION

Sir:

As the below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35 United States Code to the Patent and Trademark Office with regard to my above-identified invention described in the specification filed herewith. I have not assigned, granted, conveyed, or licensed--and am under no obligation under any contract or law to assign, grant, convey, or license--any rights in the invention to either (a) any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or (b) any concern which would not qualify as either (i) a small business concern under 37 CFR 1.9(d) or (ii) a nonprofit organization under 37 CFR 1.9(e).

/



RECEIVED

DEC 12 2001  
TECHNOLOGY CENTER R3700

1 I acknowledge a duty to file, in the above application for  
2 patent, notification of any change in status resulting in loss of  
3 entitlement to small entity status prior to paying, or at the time  
4 of paying, the earliest of the issue fee or any maintenance  
5 fee due after the date on which status as a small entity is no  
6 longer appropriate. (37 CFR 1.28(b)).

7 I hereby declare that all statements made herein of my own  
8 knowledge are true and that all statements made on information  
9 and belief are believed to be true; and further that these  
10 statements were made with the knowledge that willful false  
11 statements and the like so made are punishable by fine or  
12 imprisonment, or both, under section 1001 of Title 18 of the  
13 United States Code, and that such willful false statements may  
14 jeopardize the validity of the foregoing statements.

15  
16 DATED

11/06/01

William E. Baumzweiger

17  
18 DATED

9/5/01

Kathleen L Hannan